

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Zuhua Zhu, et al.
Serial No. : Div. of 10/106,991 Group Art Unit:
Date Filed : Concurrently Herewith Examiner:
For : CURRENT CONFINEMENT STRUCTURE FOR VERTICAL
CAVITY SURFACE EMITTING LASER

1185 Avenue of the Americas
New York, N.Y. 10036

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450
Mail Stop- Patent Applications

INFORMATION DISCLOSURE STATEMENT

Sir:

The information listed in the attached form PTO-1449 is brought to the attention of the Examiner.

It is respectfully requested that the information cited in annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

The information identified on the attached form PTO-1449, except for U.S. Patent No. 6,465,811, was cited by or submitted to the Patent Office in prior application Serial No. 10/106,991 filed on March 26, 2003 which is relied upon for a filing date under 35 U.S.C. §120.

Therefore, pursuant to 37 C.F.R. §1.98(d) copies of the information identified herein are not required, except that U.S. Patent No. 6,465,811 is submitted herewith.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

This Information Disclosure Statement is being filed concurrently with this application.

The Office is hereby authorized to charge any additional fees which may be required for consideration of this Information Disclosure Statement and to credit any overpayment to our Deposit Account No. 03-3125.

Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,



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Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.

0980/64609-Z

Serial No.

10/106,991

INFORMATION DISCLOSURE CITATION
BY APPLICANT

(Use several sheets if necessary)

Applicant

Zuhua Zhu, et al.

Filing Date

March 26, 2002

Group

2812

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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. Department of Commerce
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Notice of References CitedApplication/Control No.
10/106,991Applicant(s)/Patent Under Reexam
Zhu Zuhua et alExaminer
Savitri MulpuriArt Unit
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Page 1 of 1

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NON-PATENT DOCUMENTS

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¹ A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).¹ Dates in MM-YYYY format are publication dates.² Classifications may be U.S. or foreign.